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Patent

Attorney's Docket No. 000500-182

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

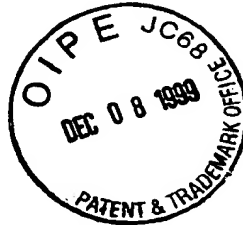
In re Patent Application of

Elisabeth Wolpert et al.

Application No.: 09/319,736

Filed: August 2, 1999

For: THERAPEUTIC APPLICATIONS OF
ANTIGENS OR EPITOPES
ASSOCIATED WITH IMPAIRED
CELLULAR PEPTIDE PROCESSING
E.G., EXPRESSED ON RMA-S CELLS
TRANSFECTED WITH A B7-1 GENE



Group Art Unit 1635

Examiner: Unassigned

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**TRANSMITTAL OF VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS - INDEPENDENT INVENTOR**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a Verified Statement (Declaration) Claiming Small Entity Status,
duly executed by the inventors of the above-identified patent application.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

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Date: December 8, 1999

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Inventor(s): Elisabeth Wolpert, et al.

Appn. or Patent No.: U.S. National Phase of PCT/SE97/020

Filed or Issued: _____

For: _____

(Atty. Dkt. _____)

M# _____

Client Ref. _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled THERAPEUTIC APPLICATIONS OF ANTIGENS OR EPITOPES ASSOCIATED WITH IMPAIRED CELLULAR PEPTIDE PROCESSING, E.G. EXPRESSED ON RMA-S CELLS TRANSFECTED described in WITH A B7-1 GENE

- ☐ the specification filed herewith.
☐ application No. 0 / _____, filed _____
☐ patent No. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each (small entity) person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention:

- ☐ no such person, concern, or organization
☐ persons, concerns or organizations listed below in (A) and (B)

(A) FULL NAME of assignee/licensee/grantee/conveyee* Elisabeth Wolpert
Dalagatan 25, S-113 24 Stockholm, Sweden
ADDRESS _____

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee* _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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Signature of Inventor _____

990801
Date _____

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NAME OF INVENTOR _____

Klas Kärre
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August 1, 1999
Date _____

3. Max Pettersson
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4. Johan Sandberg
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Date _____